## **United States Court of Appeals for the Second Circuit** Thurgood Marshall U.S. Courthouse **40 Foley Square** New York, NY 10007

ROBERT A. KATZMANN CHIEF JUDGE

**CATHERINE O'HAGAN WOLFE** 

CLERK OF COURT

Date: August 01, 2017 Docket #: 15-2821cv

DC Docket #: 10-cv-5760

DC Court: SDNY (NEW YORK

Short Title: U.S. Securities and Exchange v. Wyly

DC Judge: Scheindlin

## NOTICE OF DEFECTIVE FILING

On 07/28/2017 the Letter requesting that appeal be held in abeyance, on behalf of the Appellant

	er, Jr., was submitted in the above referenced case. The document does not a FRAP or the Court's Local Rules for the following reason(s):
Failure	to submit acknowledgment and notice of appearance (Local Rule 12.3)
Failure	to file the Record on Appeal (FRAP 10, FRAP 11)
Missing	motion information statement ( <i>T-1080 - Local Rule 27.1</i> )
Missing	supporting papers for motion (e.g, affidavit/affirmation/declaration) (FRAP 27)
Insuffic	ient number of copies ( <i>Local Rules: 21.1, 27.1, 30.1, 31.1</i> )
Imprope	er proof of service (FRAP 25)
	Missing proof of service
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<u> </u>	Incomplete service (Anders v. California 386 U.S. 738 (1967))
Failure	to submit document in digital format (Local Rule 25.1)
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Failure	to file appendix on CD-ROM (Local Rule 25.1, Local Rules 25.2)
Failure	to file special appendix (Local Rule 32.1)
Defective	ve cover (FRAP 32)
	Incorrect caption (FRAP 32)
	Wrong color cover (FRAP 32)
	Docket number font too small (Local Rule 32.1)
Incorrec	et pagination, click <u>here</u> for instructions on how to paginate PDFs
(Local Ri	ule 32.1)
Incorrec	et font (FRAP 32)
	ed filing (FRAP 27 (motion), FRAP 32 (brief))
Missing	Amicus Curiae filing or motion (Local Rule 29.1)
Untime	y filing

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Incorrect Filing Event
_xxx Other: You must make your request to hold appeal in abeyance, as a formal motion
to the Court. Please re-file using T-1080 Motion information statement form and the use the
filing event for Motion Filed

Please cure the defect(s) and resubmit the document, with the required copies if necessary, no later than 08/03/2017. The resubmitted documents, if compliant with FRAP and the Local Rules, will be deemed timely filed.

Failure to cure the defect(s) by the date set forth above will result in the document being stricken. An appellant's failure to cure a defective filing may result in the dismissal of the appeal.

Inquiries regarding this case may be directed to 212-857-8651.